

Agenda Date: 09 Sep. 16

**Collective Action: Evidence, Experience and Impact
October 20-21, 2016**

Venue: Ackermannshof/Druckereihalle, St. Johannis-Vorstadt 19-21
4056 Basel, Switzerland

Day 1 – Thursday, October 20 2016

8:30 – 9:00 Registration and Coffee

9:00 – 9:15 **Welcome Address:** Mark Pieth, Professor of Criminal Law and Criminology, University of Basel and Chairman of the Board, Basel Institute on Governance

9:15 – 10:45 **Best frenemies? Views from law enforcement and the private sector on constructive engagement to counter corruption**

Moderator: Gemma Aiolfi, Head of Collective Action, Basel Institute on Governance

Panellists:

- David Green, Director, Serious Fraud Office, UK Government
- Andreas Hoffmann, General Counsel and Head of Legal and Compliance of Siemens AG
- Stefan Heissner, Managing Partner, Fraud Investigation & Dispute Services, EY GmbH (Germany)
- Leonard McCarthy, Integrity Vice President, World Bank Group
- Walter Mäder, Federal Attorney, Responsible of International Corruption, Office of the Attorney General of Switzerland

10:45 – 11:00 Coffee break

11:00 – 11:30 **Tackling bribery from the “demand” side – The High Level Reporting Mechanism**

- **Keynote address:** Nicola Bonucci, Director, Directorate for Legal Affairs, OECD

11:30 – 13:00 **Anti-Corruption Collective Action: Impact stories**

In this session, Collective Action leaders from civil society will be joined by their initiatives' private sector counterparts in a discussion on the effect Collective Action has had on their respective business environments and practices.

Moderator: Gretta Fenner, Managing Director, Basel Institute on Governance

Panellists:

- Tracy Paradise, Executive Secretary, The Wolfsberg Group
- Gabriella Nagy, Head of Public Funds Programs, Transparency International Hungary
- Noor Naqschbandi, Director, Alliance for Integrity and Cornelia Godzierz, Head of Compliance, Linde AG
- Qusay Salama, Project Director, Integrity Network Initiative, Egyptian Junior Business Association

13:00 – 14:00 Lunch

14:00 – 17:45 Research Panels – Evidence of effectiveness, results and advocacy: selected research

This session will feature selected research papers and analysis on Collective Action effectiveness and impact submitted in response to the ICCA's call for papers, in a Q&A session with the authors, led by academics and private sector representatives.

14:00 – 14:15 Empirical evidence and conceptual clarification

- Nikos Passas, Distinguished Inaugural Professor of Collective Action, Business Ethics and Compliance, International Anti-Corruption Academy

14:15 – 15:00 Evidence and effectiveness

- Elizabeth David Barrett, Senior Lecturer in Politics, University of Sussex: "Overcoming the Collective Action Problem: The Role of Sectoral Initiatives in Tackling Corruption"
- Berta van Schoor, PhD Candidate, Technical University of Munich: "Can Cooperation Prevent Corruption? Effects of Collective Commitment in Sector-Specific Coordinated Governance Initiatives on Anti-Corruption Strategies"
- Jean-Louis Gaillard, Corporate Ethics and Compliance Manager, Panalpina (Discussant)
- Mark Pieth, Chairman of the Board, Basel Institute on Governance (Moderator)

15:00 – 15:45 Anti-corruption Collective Action in conflict zones

- Mark Pyman, Committee Member, Afghanistan Anti-Corruption Monitoring and Evaluation Committee: "Afghanistan's Independent Anti-Corruption Monitoring and Evaluation Committee. A novel approach to collective action in a challenging environment – evidence of impact"
- Manuel de Vera, Executive Director, Asian Institute of Management Team Energy Center for Bridging Leadership (AIMTEC): "Collective Action for Political Stability and Business Confidence: The Case of Marawi City, Philippines"
- Private Sector Team Representative, Transparency International (Discussant)
- Mark Pieth, Chairman of the Board, Basel Institute on Governance (Moderator)

15:45 – 16:15 Coffee break

16:15 – 17:00 The role of trust in anti-corruption Collective Action

- Phil Nichols, Associate Professor of Legal Studies and Business Ethics, The Wharton School, University of Pennsylvania: "Maximizing Stakeholder Trust as a Tool for Controlling Corruption"
- Katalin Pallai, Associate Professor, Director of the post-graduate program for Integrity Advisers, National University for Public Service, Hungary: "Educating professionals to lead collective action processes to curb complex patterns of corruption in the Hungarian Public Administration – the process and first impacts, a case study"
- Michael Faske, Partner, Fraud Investigation & Dispute Services, EY (Switzerland) (Discussant)
- Claudia Baez-Camargo, Head of Governance and Research, Basel Institute on Governance (Moderator)

17:00 – 17:45 Case studies specific to national contexts in Asia and opportunities for lessons learned

- Angela Joo-Hyun Kang, Founder and Executive President, Global Competitiveness Empowerment Forum (GCEF): “A Case Study: Promoting a fair business environment in South Korea through Collective Action solutions”
- Bandid Nijathaworn, Secretary General, Thailand Private Sector Collective Action Coalition against Corruption: “Collective action initiative in Thailand: how private firms walk the talk”
- Ashutosh Mishra, Consultant, Confederation of Indian Industry (Discussant)
- Claudia Baez-Camargo, Head of Governance and Research, Basel Institute on Governance (Moderator)

17:45-17:50 Closing Remarks: Gemma Aiolfi, Basel Institute on Governance

18:30 Cocktail and informal dinner

Day 2 – Friday, October 21 2016

8:30 – 9:00 Coffee

9:00 – 9:30 Keynote address

- Karina Litvack, Non-Executive Director, S.p.A. (*nominated to the Board by the minority institutional investors, speaking in a strictly personal capacity*)

9:30 – 9:50 Q&A session with a Collective Action practitioner

- Tim Schultz, Chair, International Forum on Business Ethical Conduct (IFBEC) interviewed by Mark Pieth, Chairman of the Board, Basel Institute on Governance

9:50 – 11:00 Collaboratories – Interactive sessions

This session will give participants the opportunity to deep-dive into how Collective Action is being applied or can be further enhanced in selected regions, challenges to its effectiveness, regional constraints and corruption dynamics, and markers for success. Sessions will be led by groups of speakers however the setting will be one geared towards interaction and debate – and perhaps spearheading the next research agenda for Collective Action.

1. Extending Collective Action in challenging markets

- Sam Megwa, Vice-Chair, Maritime Anti-Corruption Network (MACN)
- Gönenç Gürkaynak, Managing Partner, Founding Partner, ELIG Attorneys at Law (Turkey)
- Philip M. Nichols, Associate Professor of Legal Studies and Business Ethics, The Wharton School, The University of Pennsylvania

2. Collective Action beyond corruption: inspiration from other disciplines

- Ursula Wynhoven, Chief Legal Officer and Chief, Governance and Social Sustainability, United Nations Global Compact (UNGC)
- Nick Hepworth, Director, Water Witness International
- Adriana Lagrotta Leles, Adviser of the CEO/Sustainability, Sanasa (Brazil)

3. Collective Action, open data and public procurement: trends, evidence and effectiveness

- Gavin Hayman, Executive Director, Open Contracting Partnership
- Chrik Poortman, Chair of the Board, Construction Sector Transparency Initiative (CoST)
- Shabnam Siddiqui, Director, UN Global Compact India

11:00 – 11:30 Coffee break

11:30 – 12:30 Concluding Panel – Committing to Action

Moderators: Gretta Fenner, Basel Institute on Governance and Pedro Rodrigues de Almeida, Head of Basic Industries, Member of the Executive Committee, World Economic Forum

- Cobus de Swardt, Managing Director, Transparency International
- Viviane Schiavi, Senior Policy Manager, International Chamber of Commerce (ICC)
- Ursula Wynhoven, UN Global Compact
- Gemma Aiolfi, Basel Institute on Governance
- Representative from B20 Germany

12:30 – 12:45 **Closing remarks:** Mark Pieth, Chairman of the Board, Basel Institute on Governance

12:45 – 13:30 Informal lunch/End of conference

For updates please visit: <http://www.collective-action.com/conference2016/overview>